Due diligence checklist: PRA, Bank of England and FCA reporting

PRA Rulebook regulatory reporting and FCA RegData. PRA and FCA, the United Kingdom.

Regime	PRA, Bank of England and FCA reporting
Regulator	PRA and FCA
Country	the United Kingdom
Regulator's page	https://www.bankofengland.co.uk/prudential-regulation/regulatory-reporting
Prepared	9 November 2026
Current version	https://www.vederca.com/market-scan/due-diligence/gb-reporting

What it is

Returns are prepared to the PRA Rulebook and FCA Handbook and lodged through BEEDS and RegData.

Who it applies to

Applies to banks, mutuals and credit unions, insurers and pension and superannuation funds in the United Kingdom, when procuring regulatory reporting and risk, treasury and balance sheet, core banking.

What to ask a vendor, and the evidence to request

#	Ask the vendor for	Evidence to request	Received
1	Production of COREP, FINREP and PRA returns in the XBRL taxonomies the Bank of England accepts	Conformance test results or a written statement of conformance against the standard, with the version supported.	
2	FCA RegData submissions in the required formats	Conformance test results or a written statement of conformance against the standard, with the version supported.	
3	Reconciliation to the general ledger, with an audit trail	The contract clause giving the institution and its regulator access, audit and information rights, and the vendor's process for an audit request.	

In every case

- A named contact at the vendor responsible for this regime, and how the vendor tracks changes to it.
- Which named customers the vendor already serves under this regime, as references you may call.

This checklist is a starting point for a procurement team, not legal advice. Read it with the regulator's own page, your institution's policies and your legal and risk teams; the regime may have changed since the date on this document.