Due diligence checklist: FCA SYSC 8

FCA Handbook, SYSC 8 Outsourcing. FCA, the United Kingdom.

Regime	FCA SYSC 8
Regulator	FCA
Country	the United Kingdom
Regulator's page	https://www.handbook.fca.org.uk/handbook/SYSC/8/
Prepared	9 November 2026
Current version	https://www.vederca.com/market-scan/due-diligence/gb-sysc8

What it is

Outsourcing a critical function must not weaken internal control or the FCA's oversight.

Who it applies to

Applies to every financial institution in the United Kingdom.

What to ask a vendor, and the evidence to request

#	Ask the vendor for	Evidence to request	Received
1	Cooperation with the FCA, including access to data and premises	The contract clause giving the institution and its regulator access, audit and information rights, and the vendor's process for an audit request.	
2	No impairment of the institution's control over the outsourced function	A written statement from the vendor, with the policy, specification or sample that supports it.	

In every case

- A named contact at the vendor responsible for this regime, and how the vendor tracks changes to it.
- Which named customers the vendor already serves under this regime, as references you may call.

This checklist is a starting point for a procurement team, not legal advice. Read it with the regulator's own page, your institution's policies and your legal and risk teams; the regime may have changed since the date on this document.